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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/634,415	08/05/2003	3624	438	03-767	X 6	27	3

CONFIRMATION NO. 7943

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## FILING RECEIPT



\*OC000000011154271\*

Date Mailed: 10/31/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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DOCKETED

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IDS 11/05/03  
 DUE DATE: 08/05/03  
 BY: [Signature]

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/260,644 09/30/2002

## Foreign Applications

If Required, Foreign Filing License Granted: 10/31/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

System and method for price-based annotations in an electronic trading environment



Hon. Commissioner of Patents and Trademarks S/N: TBA

Atty BRH

Re: Applicant - Highland, et al.

Case No. 03-767

**SYSTEM AND METHOD FOR PRICE-BASED  
ANNOTATIONS IN AN ELECTRONIC TRADING ENVIRONMENT**

Sir:

Please place the Patent Office receipt stamp hereon and mail to acknowledge receipt of:

- ☒ Utility Patent Application Transmittal Letter
- ☒ Specification (40 sheets including 27 claims)
- ☒ Drawings (6 sheets)
- ☒ Declaration and Power of Attorney
- ☒ Patent Application Data Sheet
- ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Recordation Form Coversheet and Assignment

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Fee Enclosed: \$ 478.00

Mailed: August 5, 2003

Respectfully,  
McDonnell Boehnen Hulbert & Berghoff  
Attorney for Applicant

